

Annexure 5(a): Monitoring and oversight compliance findings of provincial departments of cooperative governance

Sector compliance findings per focus area	EC	FS	GP	KZN	LP	MP	NC	NW	WC	Total
Coordinating role										
Planned outputs and targets relating to oversight, monitoring and support of local government were not achieved										3
Monitoring and oversight										
Municipalities that did not address their audit findings were not reported to the provincial legislature										3
District municipalities were not assisted to provide support services to local municipalities										3
Consolidated report on the performance of municipalities in the province was not submitted to relevant authorities										4
Consolidated report on the performance of municipalities in the province was not compiled										3
No processes to monitor submission of annual reports by municipalities										2
No agreement to assist municipalities in building capacity for efficient, effective and transparent financial management between the province and the municipalities										5
Weaknesses existed in processes and systems to assist municipalities in addressing external audit findings										2
Municipal infrastructure grant										
Planned outputs and targets for the grant could not be verified through sufficient appropriate audit evidence										6
Planned outputs and targets for the grant were not achieved										2
The allocation was utilised for purposes other than those stipulated in the grant framework										2
Final sign-off of registered projects was not done on the municipal infrastructure grant – management information system										2
Municipal systems improvement grant										
Planned outputs and targets for the grant could not be verified through sufficient appropriate audit evidence										4
Planned outputs and targets for the grant were not achieved										3
The allocation was utilised for purposes other than those stipulated in the grant framework										3

Annexure 5(a)

No finding reported

Finding reported

Annexure 5(b): Customised indicators not included in annual performance plans of provincial departments of cooperative governance

Customised indicators	EC	FS	GP	KZN	LP	MP	NC	NW	WC	Total
Quarterly customised indicators										
Programme 2: local governance										
2.1 Municipal administration										
Number of municipalities supported to reduce incidence of unethical conduct										2
2.2 Municipal finance										
Number of municipalities with established performance audit committees										1
Number of municipalities with established internal audit units										1
Number of municipalities supported to implement the clean audit programme										3
Number of municipalities supported to implement the Municipal Property Rates Act										0
Number of identified municipalities implementing the simplified revenue plans										5
Percentage reduction of debt owed to municipalities										4
2.3 Public participation										
Number of municipalities capacitated on the communication strategy										5
2.4 Capacity development										
Number of municipalities supported to spend the municipal infrastructure grant										2
Number of municipalities with all critical posts filled										2
Number of municipalities capacitated with the implementation of individual performance management systems										4
2.5 Municipal performance monitoring, reporting and evaluation										
Number of performance reports produced										2

Annexure 5(b)

Included in annual performance plan

Not included in annual performance plan

Customised indicators	EC	FS	GP	KZN	LP	MP	NC	NW	WC	Total
Quarterly customised indicators										
Programme 3: development and planning										
3.3 Local economic development										
Number of municipalities implementing the community work programme in at least two wards										1
Total number of work opportunities created through the community work programme per year										1
Number of cooperatives supported in identified municipalities										7
Number of business development forums established in metros and districts										5
Number of emerging farmer enterprise forums established										8
Number of municipalities implementing spatial development framework guidelines										3
3.4 Municipal infrastructure										
Number of households with access to basic water supply										3
Number of households with access to electricity supply										3
Number of households with access to basic level of sanitation supply										3
Number of households reached with basic services funded by the municipal infrastructure grant										4
Number of households with access to basic refuse removal services										3
3.5 Disaster management										
Number of municipalities with disaster management centres supported										3

Annexure 5(b)

Included in annual performance plan

Not included in annual performance plan

Customised indicators	EC	FS	GP	KZN	LP	MP	NC	NW	WC	Total
Annual customised indicators										
Programme 2: local governance										
2.2 Municipal finance										
Number of municipalities with unqualified audits	Green	Red	Green	Green	Red	Red	Red	Red	Red	6
Number of municipalities supported to implement the local government equitable share	Green	Red	Red	Red	Red	Red	Red	Red	Red	8
Number of municipalities supported on the conditional grant coordination framework	Green	Red	Red	Red	Red	Red	Red	Red	Red	8
Number of identified municipalities implementing the simplified revenue plans	Green	Red	Green	Red	Red	Green	Red	Green	Red	5
Annual customised indicators										
2.3 Public participation										
Number of municipalities supported to implement a revised national framework on funding for ward committees	Green	Red	Green	Red	Red	Red	Red	Red	Red	7
2.4 Capacity development										
Number of municipalities assessed on the state of information and communication technology	Green	Red	Red	Red	Red	Red	Red	Red	Green	7
Municipal infrastructure grant performance audits in selected municipalities	Green	Red	Red	Red	Green	Red	Red	Red	Red	7
2.5 Municipal performance monitoring, reporting and evaluation										
Number of municipalities supported to streamline reporting requirements	Green	Red	Green	Red	Red	Green	Red	Red	Green	5
Programme 3: development and planning										
3.6 Integrated development plan coordination										
Number of municipalities supported to streamline reporting requirements	Red	Green	Green	Red	Green	Green	Green	Green	Green	2

Annexure 5(b)

Included in annual performance plan

Not included in annual performance plan

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